

**EXETER CITY COUNCIL  
AUDIT AND GOVERNANCE COMMITTEE**

**PROGRESS OF 2014/15 AUDIT PLAN AS AT 30/06/14**

Audit Area	Budget Days	Actual Days	Report Status	Direction of travel since last audit	Assurance Rating	Number of findings		
						High	Med	Low

**Leader**

‘A stronger city’

External Funding & Grants	5	0	To start Q4					
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**Enabling Services**

‘A well run Council’

‘Maintain our property assets of the city’

Main Accounting 2014-15	25	3.7						
- Budget setting			To start Q2					
Income Management/Sundry Debt	35	11.4	To start Q4					
Procurement	30	1.2	To start Q3					
Creditors	50	19.6						
Quarter 1			In progress					
Treasury Management	5	0	To start Q2					
People Management	35	16.2	In progress					
ICT – Shared service	30	5.8	Ongoing					
Business Continuity	15	0	To start Q3					
Risk Management	10	3.5	In progress					
Contracts	5	0	To start Q3					
Counter Fraud/Anti-Fraud	15	9.1	Draft Report					
Corporate governance	15	2.1	Ongoing					
Information Governance	10	1.8	Ongoing					
Equalities & Diversity	10	0	To start Q4					
Safeguarding	15	10.7	In progress					
Partnerships	5	0	To start Q3					
External Audit	5	0.7	Ongoing					

**Customer Access**

‘Help me with my housing and financial problem’

Housing Benefit Subsidy	50	20.1	To start Q4					
Council Tax	30	0	To start Q2					
NDR	12	0	To start Q2					
One View of Debt (OVD)	25	0	To start Q3					
Housing - Tenants	25	3.7	In progress					

## Housing

'Provide suitable housing'

'Be a good landlord'

Housing - Property	15	1.4	To start Q4					
Private Sector Housing	10	0	To start Q3					

## Environment, Health & Wellbeing

'Keep place looking good'

'Keep me/my environment safe and healthy'

Health & Safety	10	12	In progress					
Licensing	5	7.4	Draft Report					

## Economy and Culture

'Provide great things for me to see and do'

'Help me run a successful business'

Culture/Events (Rugby World Cup)	2	0	Ongoing					
Museums			In progress					

## City Development

'Deliver good development'

Planning	5	0.5	To start Q4					
New Homes Bonus	8	6.8	In progress					
CIL/Section 106	8	0	To start Q4					

## Public Realm

'Keep place looking good'

Car Parks	10	0	To start Q4					
CCTV	5	5.6	In progress					
Homecall	5	5.4	Completed	No previous report	Good	0	4	2

Contingency (special/frauds) including unplanned work	40	6
Follow-ups	10	4.4
PSIAS Peer Review	2	0
<b>Total</b>	<b>597</b>	<b>159.1</b>

**Results of reports finalised during 2014/15 relating to 2013/14 – see Appendix B for summary of reports**

Audit Area			Report Status	Direction of travel since last audit	Assurance Rating	Number of findings		
						H	M	L
Debtors 2013/14			Final	↑	Some Improvement Required	0	6	16
Housing Benefit Subsidy 2013/14			Final	↔	Some Improvement Required	2	6	3
Main Accounting 2013/14 –			Final	↔	Some	0	7	5

System management					Improvement required			
Creditors 2013/14 – Quarter 4			Final	↔	Good	0	4	6